

Express Mail Label No. EM 290 578 722 US
Date of Deposit: June 28, 1996

#2

PATENT
Attorney Docket No. 15358-24

I hereby certify that this correspondence is being deposited
with the United States Postal Service "Express Mail Post
Office to Addressee" service under 37 CFR §1.10 addressed to:
Box: Reconstruction
Assistant Commissioner for Patents,
Washington, D.C. 20231, on June 28, 1996

TOWNSEND and TOWNSEND and CREW LLP
By Sunil Dutt
Sunil Dutt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Edward L. Schwartz, et al.)	Examiner: Unassigned
)	
Application No.: 08/423,402)	Art Unit Unassigned
)	
Filed: April 18, 1995)	
)	REQUEST FOR FILE
For: METHOD AND APPARATUS FOR)	RECONSTRUCTION
SECURING EXECUTABLE)	
PROGRAMS AGAINST COPYING)	
)	

Box: Reconstruction
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to the Official Letter mailed June 27, 1996,
which indicated that the file wrapper for the above-captioned
case is lost and the search in the Patent Office has been
terminated, Applicants respectfully request that the file wrapper
for the above-captioned case be reconstructed.

To that end, enclosed herewith are true and correct
copies of the following documents which comprise our complete
application file:

1. Complete application, as filed, comprising:
 - a. specification,
 - b. eight (8) sheets of informal drawings,
 - c. unsigned Declaration,
 - d. application transmittal sheet, and
 - e. copy of return postcard (stamped received by the
PTO April 18, 1995).
2. Notice to File Missing Parts of Application (mailed May 5,
1995).

3. Information Disclosure Statement, PTO-1449 form and three (3) references cited (mailed June 14, 1995) and copy of return postcard (stamped received by the PTO June 19, 1995).
4. Transmittal Letter of June 14, 1995 comprising:
 - a. Executed Declaration,
 - b. Power of Attorney with attached Certificate Under 3.73(b),
 - c. copy of Notice to File Missing Parts
 - d. copy of return postcard (stamped received by the PTO June 19, 1995).
5. Assignment and PTO-1595P form submitted for recordation June 14, 1995 (including copy of return postcard stamped received by the PTO June 19, 1995).
6. Copy of Filing Receipt (received July 24, 1995).
7. Recorded Assignment received from PTO November 14, 1995. Assignment recorded on June 19, 1995 at Reel 7524, Frame 0155.

Please reconstruct the application file from the copies enclosed herewith.

Also enclosed is our request for five (5) certified copies of the filed application. As the certified copies are needed for rapidly approaching deadlines to obtain priority in foreign filings, the Patent Office's diligent attention to this matter is appreciated.

Respectfully submitted,

Date:

28 JUN 96

By



Philip H. Albert
Reg. No. 35,819

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: (415) 576-0200
Encs: (Items 1-7)

Request for Certified Copies

TOWNSEND and TOWNSEND KHOURIE and CREW
Steuart Street Tower
One Market Plaza
San Francisco, CA 94105
(415) 543-9600

Atty. Docket No. 15358-24

"Express Mail" Label No. TB380892996 US

Date of Deposit April 18, 1995

**PATENT APPLICATION
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D. C. 20231**

Sir:

Transmitted herewith for filing is the

- ☒ patent application of
☐ design patent application of
☐ continuation-in-part patent application of

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

By 

Inventors: Edward L. Schwartz and Michael J. Gormish

For: **METHOD AND APPARATUS FOR SECURING EXECUTABLE PROGRAMS AGAINST COPYING**

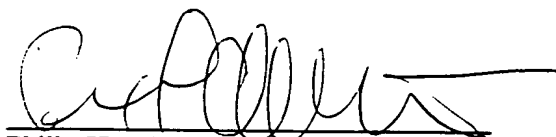
Enclosed are:

- ☒ 8 sheets of ☐ formal ☒ informal drawings.
☐ An assignment of the invention to _____
☐ A ☐ signed ☐ unsigned Declaration & Power of Attorney.
☒ A ☐ signed ☒ unsigned Declaration.
☐ A Power of Attorney.
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
☐ A certified copy of a _____ application.
☐ Information Disclosure Statement under 37 CFR 1.97.
☐

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(d), Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

Telephone:
(415) 543-9600
APPNOFEE.TRN 12/92


Philip H. Albert
Reg. No.: 35,819
Attorneys for Applicant

TO THE U.S. PATENT OFFICE

Please stamp the date of receipt of the following document(s)
and return this card to us:

RE: Edward L. Schwartz, et al.

TITLE OF DOCUMENT(S):

PTO-1595 form (2); Assignment; Return postcard



ENTERED

Serial No. 08/423,402
File No. 15358-24
Date Due n/a
Date Mailed June 14, 1995
Atty/Secty. PHA:dlh

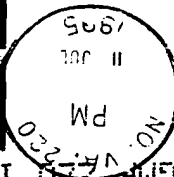


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& CREW

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LAW OFFICES
TOWNSEND AND TOWNSEND KHOURI AND
Stewart Street Tower, One Market Place
San Francisco, CA 94105



07/11/95 NO 04 GMT 200 18:30 #3



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

June 27, 1996

Townsend & Townsend and Crew LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111

To Whom It May Concern:

The purpose of this letter is to document the problems associated with filling your request for a certified copy of U.S. Patent Application Number for 08/423,402.

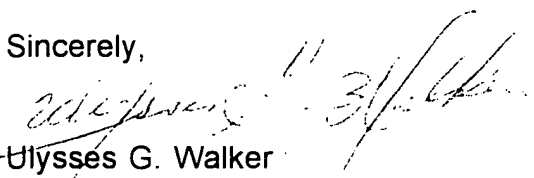
Your order dated January 30, 1996, was received in our office on February 2, 1996. At the time of the request, the file could not be located and was placed on Official Search. Unfortunately, the Official Search has been terminated, and the file is now Officially Lost and has to be reconstructed.

Copies of the documents concerning U.S. Patent Application Number 08/423,402 can not be provided until the file has been reconstructed.

Please, direct questions concerning reconstruction of the application file to the Special Handling Unit, of the Certification Division, at (703) 308-9726.

I apologize for any inconvenience this has caused.

Sincerely,



Ulysses G. Walker
Certification Division



COPY



015358-0024004
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
RCC/PHA

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/423,402

04/18/95

SCHWARTZ

E

15358-24

0222/0505
TOWNSEND AND TOWNSEND KHOURIE AND CREW
STEWART STREET TOWER
ONE MARKET PLAZA
SAN FRANCISCO CA 94105

Response Due

DATE MAILED: 0000

05/05/95

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for large entities or \$ 65.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 130.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 130.00 to complete the basic filing fee.
- ☐ Additional claim fees of \$ _____ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

- ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
_____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
- ☐ A \$ _____ processing fee is required for returned checks. (37 CFR 1.21(m)).
- ☐ Your filing receipt was mailed in error because check was returned without payment.
- ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
- ☐ Other.

Direct the response and any questions about this notice to _____, Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice MUST be returned with the response.

ATTORNEY'S/APPLICANTS COPY

PATENT

By _____

Donna Hill

Please charge the statutory basic filing fee of \$860 (total claims, 32 and 4 independent claims) and the surcharge of \$65.00 to Deposit Account No. 20-1430 of the undersigned. The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this

Edward L. Schwartz, et al.
Serial No.: 08/423,402
Page 2

PATENT

application, or credit any overpayment to Deposit Account No. 20-1430 for this paper and during the prosecution of this application. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Philip H. Albert
Philip H. Albert
Reg. No. 35,819

TOWNSEND and TOWNSEND and CREW
One Market Plaza
Steuart Street Tower, 20th Floor
San Francisco, California 94105

(415) 543-9600

PHA:

c:\work\15358\24\ms-pts

TO THE U.S. PATENT OFFICE

Please stamp the date of receipt of the following document
and return this card to us:

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H. 2
P.Z.

RE: Edward L. Schwartz, et al.

TITLE OF DOCUMENT(S): JUN

Information Disclosure Statement; PTO-1449 Form and
(3) references cited therein; return postcard

Serial No. 08/423,402
File No. 15358-24
Date Due n/a
Date Mailed June 14, 1995
Atty/Secty. PHA:dlh



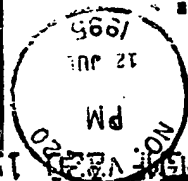
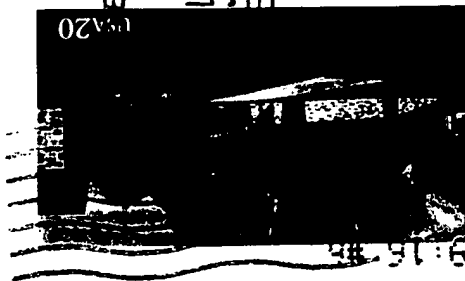
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Steuart Street Tower, One Market Plaza
San Francisco, CA 94105



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23040 103 76.00CH

TO THE U.S. PATENT OFFICE

Please stamp the date of receipt of the following document(s)
and return this card to us:

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RE: Edward L. Schwartz, et al.

TITLE OF DOCUMENT(S):

Transmittal Letter (3); Executed Declaration;
Attorney and attached Certificate Under 3.73; copy
of Notice to File Missing Parts; return postcard



Serial No. 08/423,402

File No. 15358-24

Date Due July 5, 1995

Date Mailed June 14, 1995

Atty/Secty. PHA:dlh



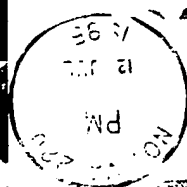
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& CREW

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LAW OFFICES
TOWNSEND AND TOWNSEND KHOURIE AND CREW
Stewart Street Tower, One Market Plaza
San Francisco, CA 94105



FILING RECEIPT



015358-002400US
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
RCL/PHA

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/423,402	04/18/95	2309	\$860.00	15358-24	8	32	4

PHILIP H ALBERT
TOWNSEND AND TOWNSEND KHOURIE AND CREW
STEUART STREET TOWER 20TH FLOOR
ONE MARKET PLAZA
SAN FRANCISCO CA 94105

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

EDWARD L. SCHWARTZ, SUNNYVALE, CA; MICHAEL J. GORMISH,
LOS ALTOS, CA.

TITLE

METHOD AND APPARATUS FOR SECURING EXECUTABLE PROGRAMS AGAINST COPYING

PRELIMINARY CLASS: 395

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& CREW
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LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). This license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license has been granted under 37 CFR 5.13.

This license is to be retained by the licensee and may be used at anytime on or after the effective date thereof unless it is revoked. This license is transferrable to any related application(s) filed under 37 CFR 1.62 which meet the provisions of 37 CFR 5.15(a)(4). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR, Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12 (b), if a license is desired.